



PATENT

Attorney Docket No.: A-68872-1/RFT/RMS/BTC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In re</u> application of:

Examiner: Unknown

REDDY, et al.

Group Art Unit: 1632

Serial No. 09/771,355

Filed: January 26, 2001

For:

USE OF RAD51 INHIBITORS FOR

p53 GENE THERAPY

CERTIFICATE OF MAILING

Ihereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

. Dated

Signed:

Tina M. Ingrande

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents BOX MISSING PART Washington, D. C. 20231

Sir:

In response to the Notification of Missing Requirements dated April 13, 2001, enclosed herewith is a copy of the executed Declaration, a copy of the Notification and Power of Attorney by Assignee. Applicant claims small entity status (see 37 CFR 1.27).

In response to the Notice to Comply with Requirements for Patent Applications
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 13,
2001, enclosed are the following documents:

1. Copy of Notice to Comply;

Serial No.: 09771,355 Filed: January 26, 2001

- 2. Paper copy of SEQUENCE LISTING;
- 3. Preliminary Amendment; and
- 4. Computer readable copy of Sequence Listing.

Enclosed is our check in the amount of \$672.00 (\$355.00 Filing Fees, \$252.00 Extra Claims Fees and \$65.00 for the surcharge for late filing of the Declaration). Please debit any underpayment or credit any overpayment to our Deposit Account No. 06-1300 (Order No. A-68872-1/RFT/RMS/BTC). Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

Dated:

Brian T. Clarke (Reg. No. 45,552) for Robin M. Silva (Reg. No. 38,304)

Four Embarcadero Center

Suite 3400

San Francisco, CA 94111-4187

Telephone: (415) 781-1989

Page 1 of 2

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/771,355

01/26/2001

Gurucharan Reddy

A-68872-1/RFT/RMS/BTC

CONFIRMATION NO. 6076

Date Mailed: 04/13/2001

FORMALITIES LETTER

OC000000005964908

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP Four Embarcarero Center, Suite 3400 San Francisco, CA 94111-4187

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$504.
 - \$234 for 13 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1344.

8888 8888

This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821 (f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to

05/31/2001 WKDROMA 00000010 09771355





be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

18

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE.